02-30-01

02/16/01

PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S DEPARTMENT OF COMMERCE

Please type a plus (+) sign in this box ➔ ☐ +

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UTILITY PATENT APPLICATION TRANSMITTAL (only for new non-provisional applications under 37 C.F.R. § 1.53(B))	Attorney Docket No. **S30.12-0002**
	First Inventor or Application Identifier **Barry Wendt**
	Title **VECTOR SEGMENT FINGERPRINT IDENTIFICATION**
	Express Mail Label No. **EL717080948US**

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

Address To: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification [Total Sheets **110**]

(preferred arrangement set forth below
- Descriptive title of the Invention)
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D

- Reference to Microfiche Appendix
- Background of the Invention

- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets **40**]

4. Oath or Declaration [Total Sheets **3**]
a. ☒ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 C.F.R § 1.63(d))
(for continuation/divisional with Box 16 completed)

☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

5. ☐ Microfiche Computer Program (Appendix)

6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)

a. ☐ Computer Readable Copy
b. ☐ Paper Copy (Identical to computer copy)
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

9. ☐ English Translation Document

10. ☐ Information Disclosure Statement (IDS/PTO – PTO) ☐ Copies of IDS

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)

13. ☐ *Small Entity ☐ Statement filed in prior application. Statement(s) Status still proper and desired (PTO/SB/09-12)

14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

15. ☒ Request and Cert. Under 35 USC 122 (Non-Pub)

16. ☒ Other: (1) Petition to Accept Color Photographs; (2) Two (2) sets of color and one (1) set of black & white photos; (3) Checks in the amount of $130 and $733 & $40

16. **If a CONTINUING APPLICATION,** check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation –in part (CIP) of prior application No: _____
Prior application information _____ Examiner _____ Group/Art Unit _____
FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	Christopher L. Holt
	WESTMAN CHAMPLIN & KELLY
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Name (Print/type)	Christopher L. Holt	Registration No (Attorney/Agent)	45,844
Signature	*Chris Holt*	Date	2/16/01

FEE TRANSMITTAL

Complete if Known

Application No.	
Filing Date	Herewith
First Named Inventor	Barry **Wendt**
Title	VECTOR **SEGMENT FINGERPRINT IDENTIFICATION**
Group Art Unit	
Examiner Name	
Atty. Docket Number	S30.12-0002

Total Amount of Payment $ 733

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 23-1123. Westman, Champlin & Kelly, P.A.

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description
101	710	201	355	☒ Utility Filing Fee
106	320	206	160	☐ Design Filing Fee
108	710	208	355	☐ Reissue Filing Fee
114	150	214	75	☐ Prov. Filing Fee

Subtotal (1) $ 355

2. EXTRA CLAIM FEES

	Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	62	20	42	9	378
Indep.	3	3	0	40	0

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater, Reissue see below

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple Dependent Claims
109	80	209	40	Reissue Independent Claims over Original Patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

Subtotal (2) $ 378

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - Late filing fee or oath	
127	50	227	25	Surcharge - Late provisional Filing Fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For Filing a Request for Reexamination	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	280	945	Extension for reply within fifth month	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
148	110	248	55	Terminal Disclaimer Fee	
140	110	240	55	Petition to Revive - unavoidable	
141	1,240	241	620	Petition to Revive - unintentional	
142	1.270	242	650	Utility/Reissue issue fee (inc. advance copies)	
143	470	243	250	Design issue fee (inc. advance copies)	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	

Other Fee (specify) _____

Subtotal (3) $

Signature _CRis Holt_
(Christopher L. Holt)
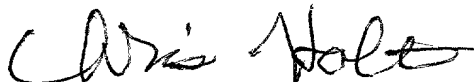
Date 2/16/01

Reg. No. 45,844

Deposit Account No. 23-1123

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Barry Wendt
	Title	Vector Segment Fingerprint Identification
	Atty Docket Number	S30.12-0002

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

_2/16/2001_
Date

Signature

Christopher L. Holt
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing.**

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**